





History of the IG Function at the Federal and State Levels

Sponsored by:

The Chief Inspector General and the Florida Chapter of the Association of Inspectors General

Long Standing Requirement for Audit Not Followed

- Budget and Accounting Act of 1921
- Accounting and Auditing Act of 1950
- GAO Standards (The 1972 Version)
- OMB Circular No. A-73

Early GAO Reports

Review of 18 Agencies and/or Components Disclosed:

- Lack of independence
- Lack of effective organization
- Lack of coordination
- Lack of resources

Scandals and Administrative IGs

> Billy Sol Estes led to Administrative IG in Agriculture (early 1960s)

- > HUD scandals in late 60s and early 70s led to an Administrative IG in HUD
- > VA in early 1978 DEPARTMENT OF VETERANS AFFAIRS

Dismissal of Ag IG



- > 1974 Earl Butz, Secretary of Agriculture, summarily abolished the Ag IG exposing the fatal flaw in Administrative IGs
- > A statutory IG was created in HEW in October 1976
- Newly created Department of Energy had a statutory IG in 1977
- > This was followed by the Inspector General Act of 1978 which established Statutory IGs in 12 agencies

The IG ACT Of 1978

Inspector General Act was created to:

- Conduct and supervise audits and investigations relating to programs and operations.
- Provide leadership and coordinate & recommend policies for activities to promote economy, efficiency, effectiveness and prevent and detect fraud and abuse
- Provide a means for keeping the head of the establishment & the Congress fully and currently informed about deficiencies and corrective actions

Creation Dates for Statutory IGs

o **HEW** Oct. 15, 1976

Energy August 4, 1977

12 Agencies! October 12, 1978

Education
October 17, 1979

State
October 17, 1980

o **AID** December 29, 1981

Department of Defense September 8, 1982

Railroad Retirement Board August 12 1983

Problems and Challenges after 1978

Creation of an office did not solve all of the problems and challenges. It was simply a starting place.

Mass removal of all IG on January 20, 1981



- Problems in obtaining necessary resources.
- Problems with independent legal advice
- Failures of Inspectors Generals
- DOD wanted the IG to ask for permission to investigate or audit

Big Guns for the IGs in House and Senate

✓ Jack Brooks and H.L. Fountain, House Committee on Government Operations

✓ Lawton Chiles, Senate Committee on Governmental

Affairs



Florida Inspectors General

In Florida the Inspector General Act of 1994 (FS 20.055) established the duties, responsibilities and authority of an inspector general. This too was a starting place.



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Florida Inspectors General

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Questions?

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